

UNITED STATES OF AMERICA  
NATIONAL LABOR RELATIONS BOARD  
CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE	
Case 13-CA-283985	Date Filed 10/5/21

## INSTRUCTIONS:

File an original and 4 copies of this charge with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.

## 1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT

a. Name of Employer Northwestern University		b. Number of workers employed
c. Address (street, city, state, ZIP code) 633 Clark Street, Evanston, IL 60208	d. Employer Representative Morton Schapiro	e. Telephone No. (847) 491-3741
f. Type of Establishment (factory, mine, wholesaler, etc.) University	g. Identify principal product or service higher education	
h. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) and (list subsections) _____ of the National Labor Relations Act, and these unfair labor practices are unfair practices affecting commerce within the meaning of the Act.		

Since on or about September 22, 2021, the above-named employer, by its (b) (6), (b) (7)(C) (b) (6), (b) (7)(C), has barred employees of its subcontractor Compass Group, who are regularly employed on University property performing work integral to University operations, from entering its property for purposes of engaging in concerted activity and directing that such activity "must occur exclusively on public property, such as the sidewalks along Sheridan road." Respondent took this action with knowledge that the labor agreement with Compass Group had expired and that Compass Group employees might "engage in certain job actions related to their current negotiations for a new contract." Northwestern University's blanket ban on employee entry on University property in connection with the labor dispute with Compass Group violates the Act.

## 3. Full name of party filing charge (if labor organization, give full name, including local name and number)

UNITE HERE Local 1

4a. Address (street and number, city, state, and ZIP code) 218 S. Wabash Ave., 7 <sup>th</sup> floor, Chicago, IL 60604	4b. Telephone No. 312-663-4373
5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization) UNITE HERE	

## 6. DECLARATION

I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief.

By _____ (signature of representative or person making charge)	/s/ Robert E. Bloch _____ (signature of representative or person making charge)	Attorney _____ (title if any)
Address Dowd, Bloch, Bennett, Cervone, Auerbach & Yokich 8 S. Michigan Ave., 19 <sup>th</sup> floor, Chicago, IL 60603 _____	312-372-1361 rebloch@laboradvocates.com _____ (Telephone No.)	October 5, 2021 _____ (date)



UNITED STATES GOVERNMENT  
NATIONAL LABOR RELATIONS BOARD

REGION 13  
Dirksen Federal Building  
219 South Dearborn Street, Suite 808  
Chicago, IL 60604-2027

Agency Website: [www.nlrb.gov](http://www.nlrb.gov)  
Telephone: (312)353-7570  
Fax: (312)886-1341



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October 6, 2021

Morton Schapiro, President  
Northwestern University  
633 Clark St  
Evanston, IL 60208-0001

Re: Northwestern University/Compass Group  
Case 13-CA-283985

Dear Mr. Schapiro:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

**Investigator:** This charge is being investigated by Field Attorney Elizabeth Cortez whose telephone number is (312) 353-4174 and email address is [Elizabeth.Cortez@nlrb.gov](mailto:Elizabeth.Cortez@nlrb.gov). If this Board agent is not available, you may contact Supervisory Field Examiner Joyce Hofstra whose telephone number is (312) 353-7609.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701, Notice of Appearance*. This form is available on our website, [www.nlrb.gov](http://www.nlrb.gov), or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

**Presentation of Your Evidence:** We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge by **October 19, 2021**. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

**Preservation of all Potential Evidence:** Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

**Prohibition on Recording Affidavit Interviews:** It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

**Correspondence:** All documents submitted to the Region regarding your case MUST be filed through the Agency's website, [www.nlr.gov](http://www.nlr.gov). This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not

have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, [www.nlr.gov](http://www.nlr.gov) or from an NLRB office upon your request. *NLRB Form 4541, Investigative Procedures* offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

A handwritten signature in cursive script that reads "Paul Hitterman".

Paul Hitterman  
Acting Regional Director

Enclosures:

1. Copy of Charge
2. Commerce Questionnaire

## QUESTIONNAIRE ON COMMERCE INFORMATION

Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number.

CASE NAME	CASE NUMBER 13-CA-283985
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## 1. EXACT LEGAL TITLE OF ENTITY (As filed with State and/or stated in legal documents forming entity)

## 2. TYPE OF ENTITY

☐ CORPORATION ☐ LLC ☐ LLP ☐ PARTNERSHIP ☐ SOLE PROPRIETORSHIP ☐ OTHER (Specify )

## 3. IF A CORPORATION or LLC

A. STATE OF INCORPORATION OR FORMATION	B. NAME, ADDRESS, AND RELATIONSHIP (e.g. parent, subsidiary) OF ALL RELATED ENTITIES
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## 4. IF AN LLC OR ANY TYPE OF PARTNERSHIP, FULL NAME AND ADDRESS OF ALL MEMBERS OR PARTNERS

## 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR

## 6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products handled or manufactured, or nature of services performed).

## 7A. PRINCIPAL LOCATION:

## 7B. BRANCH LOCATIONS:

## 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED

A. TOTAL:

B. AT THE ADDRESS INVOLVED IN THIS MATTER:

9. DURING THE MOST RECENT (Check the appropriate box): ☐ CALENDAR ☐ 12 MONTHS or ☐ FISCAL YEAR (FY DATES \_\_\_\_\_)

	YES	NO
A. Did you provide services valued in excess of \$50,000 directly to customers outside your State? If no, indicate actual value. \$ _____		
B. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If no, indicate the value of any such services you provided. \$ _____		
C. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to public utilities, transit systems, newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. \$ _____		
D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ _____		
E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ _____		
F. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ _____		
G. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ _____		
H. Gross Revenues from all sales or performance of services (Check the largest amount) <input type="checkbox"/> \$100,000 <input type="checkbox"/> \$250,000 <input type="checkbox"/> \$500,000 <input type="checkbox"/> \$1,000,000 or more If less than \$100,000, indicate amount.		
I. Did you begin operations within the last 12 months? If yes, specify date: _____		

## 10. ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING?

☐ YES ☐ NO (If yes, name and address of association or group).

## 11. REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS

NAME	TITLE	E-MAIL ADDRESS	TEL. NUMBER
------	-------	----------------	-------------

## 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE

NAME AND TITLE (Type or Print)	SIGNATURE	E-MAIL ADDRESS	DATE
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## PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.





UNITED STATES GOVERNMENT  
NATIONAL LABOR RELATIONS BOARD

REGION 13  
Dirksen Federal Building  
219 South Dearborn Street, Suite 808  
Chicago, IL 60604-2027

Agency Website: [www.nlrb.gov](http://www.nlrb.gov)  
Telephone: (312)353-7570  
Fax: (312)886-1341



Download  
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October 6, 2021

UNITE HERE Local 1  
218 S. Wabash Ave, 7<sup>th</sup> floor  
Chicago, IL 60604

Re: Northwestern University/Compass Group  
Case 13-CA-283985

Dear Sir or Madam:

The charge that you filed in this case on October 5, 2021 has been docketed as case number 13-CA-283985. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

**Investigator:** This charge is being investigated by Field Attorney Elizabeth Cortez whose telephone number is (312) 353-4174 and email address is [Elizabeth.Cortez@nlrb.gov](mailto:Elizabeth.Cortez@nlrb.gov). If this Board agent is not available, you may contact Supervisory Field Examiner Joyce Hofstra whose telephone number is (312) 353-7609.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701, Notice of Appearance*. This form is available on our website, [www.nlrb.gov](http://www.nlrb.gov), or from an NLRB office upon your request.

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**Presentation of Your Evidence:** As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

**Preservation of all Potential Evidence:** Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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We can provide assistance for persons with limited English proficiency or disability.  
Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

A handwritten signature in cursive script that reads "Paul Hitterman". The ink is dark and the signature is written on a light-colored background.

Paul Hitterman  
Acting Regional Director

cc: Robert E. Bloch, Attorney  
Dowd, Bloch, Bennett, Cervone,  
Auerbach & Yokich  
8 South Michigan Avenue, 19<sup>th</sup> Floor  
Chicago, IL 60603-3315



**From:** [Robert Bloch](#)  
**To:** [Cortez, Elizabeth](#)  
**Subject:** Re: 13-CA-283985 Northwestern University/Compass Group  
**Date:** Tuesday, October 5, 2021 6:00:10 PM  
**Attachments:** [Figora email 092221.pdf](#)

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wow, that was fast!!!

The charge is almost entirely based on an email sent to the Union, attached. We can set up an affidavit for the background information too.

Robert

**ROBERT E. BLOCH**  
**DOWD, BLOCH, BENNETT, CERVONE, AUERBACH & YOKICH, LLP**  
8 South Michigan Avenue | 19th Floor | Chicago, IL 60603  
Phone 312.372.1361 | Fax 312.372.6599 | Cell 312.296.5699

On Tue, Oct 5, 2021 at 3:07 PM Cortez, Elizabeth <[Elizabeth.Cortez@nlrb.gov](mailto:Elizabeth.Cortez@nlrb.gov)> wrote:

Dear Robert,

Hope all is well. I've been assigned the above charge for investigation. Please let me know if your witness(es) is available on Tuesday, 10/12/21 or sometime early next week? Also, please email all evidence in support of this charge, including but not limited to email communications.

Thank you,

[Liz Cortez](#)

*Attorney*

National Labor Relations Board, Region 13

219 S. Dearborn St., Suite 808

Chicago, IL 60604

Direct: 312-353-4174

Cell: 202-251-4594

Fax: 312-886-1341

**Please note the NLRB now requires electronic filing of documents. See [GC Memo 20-01](#) on the Agency's website.**

This email and any files transmitted with it are confidential and intended solely for the use of the individual or entity to whom they are addressed. If you have received this email in error, please notify Dowd, Bloch, Bennett, Cervone, Auerbach & Yokich LLP ([office@laboradvocates.com](mailto:office@laboradvocates.com)). Please note that any views or opinions presented in this email are solely those of the author and do not necessarily represent those of Dowd, Bloch, Bennett, Cervone, Auerbach & Yokich LLP. Finally, the recipient should check this email and any attachments for the presence of viruses. Dowd, Bloch, Bennett, Cervone, Auerbach & Yokich LLP accepts no liability for any damage caused by any virus transmitted by this email.

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## Fwd: Northwestern Expectations Regarding Demonstrations

1 message

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**Dan Abraham** <dabraham@unitehere1.org>  
To: Robert Bloch <rebloch@laboradvocates.com>

Wed, Sep 22, 2021 at 3:13 PM

----- Forwarded message -----

From: **Luke Figora** <luke.figora@northwestern.edu>  
Date: Wed, Sep 22, 2021 at 3:11 PM  
Subject: Northwestern Expectations Regarding Demonstrations  
To: [kkent@unitehere1.org](mailto:kkent@unitehere1.org) <[kkent@unitehere1.org](mailto:kkent@unitehere1.org)>, [dabraham@unitehere1.org](mailto:dabraham@unitehere1.org) <[dabraham@unitehere1.org](mailto:dabraham@unitehere1.org)>

Dear Karen and Dan,

The University is aware that, earlier this year, Unite Here Local 1 terminated its collective bargaining agreement with Compass and, accordingly, the food service associates you represent have the ability to engage in certain job actions related to their current negotiations for a new contract.

As an initial matter, Northwestern University considers the Compass employees who staff our dining halls and other on-campus food service locations vital members of our community. We strongly support and encourage free speech and respect their right to engage in protected, concerted activity, including peaceful demonstrations.

That said, earlier this year in June, Unite Here Local 1 and several Compass employees represented by Local 1 engaged in unauthorized, on-campus picketing, which caused a significant disruption to University operations (including, but not limited to, interfering with students taking final exams). Now that students have returned for the fall term and are resuming their studies in earnest, we wanted to take this opportunity to reach out to make clear that the University prohibits any unauthorized on-campus picketing and/or demonstrations. Consistent with the National Labor Relations Board's holding in Bexar County Performing Arts Center Foundation, 368 NLRB No. 46 (2019), in the event Compass employees choose to engage in picketing or other demonstrations, such activity must occur exclusively on public property, such as the sidewalks along Sheridan Road.

Sincerely,

**Luke Figora**

Vice President for Operations

[Northwestern University](https://www.northwestern.edu)

[luke.figora@northwestern.edu](mailto:luke.figora@northwestern.edu)

O: 847.491.7696

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

Northwestern University, Employer

and

UNITE HERE Local 1, Charging Party

CASE 13-CA-283985

☒ REGIONAL DIRECTOR

☐ EXECUTIVE SECRETARY  
NATIONAL LABOR RELATIONS BOARD  
Washington, DC 20570

☐ GENERAL COUNSEL  
NATIONAL LABOR RELATIONS BOARD  
Washington, DC 20570

THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATIVE OF \_\_\_\_\_  
Northwestern University, Employer

IN THE ABOVE-CAPTIONED MATTER.

CHECK THE APPROPRIATE BOX(ES) BELOW:

☒ REPRESENTATIVE IS AN ATTORNEY

☒ IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WILL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS AS DESCRIBED IN SEC. 11842.3 OF THE CASEHANDLING MANUAL.

(REPRESENTATIVE INFORMATION)

NAME: Anna Wermuth

MAILING ADDRESS: Cozen O'Connor, 123 N. Wacker Dr., Ste. 1800, Chicago, IL 60606

E-MAIL ADDRESS: awermuth@cozen.com

OFFICE TELEPHONE NUMBER: 312-474-7876

CELL PHONE NUMBER: 312-525-4725 FAX: 312-878-2023

SIGNATURE: \_\_\_\_\_  
(Please sign in ink.)

DATE: 10/14/2021

<sup>1</sup> IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

Northwestern University, Employer

and

UNITE HERE Local 1, Charging Party

CASE 13-CA-283985

☒ REGIONAL DIRECTOR

☐ EXECUTIVE SECRETARY  
NATIONAL LABOR RELATIONS BOARD  
Washington, DC 20570

☐ GENERAL COUNSEL  
NATIONAL LABOR RELATIONS BOARD  
Washington, DC 20570

THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATIVE OF \_\_\_\_\_  
Northwestern University, Employer


IN THE ABOVE-CAPTIONED MATTER.

CHECK THE APPROPRIATE BOX(ES) BELOW:

☒ REPRESENTATIVE IS AN ATTORNEY

☒ IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WILL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS AS DESCRIBED IN SEC. 11842.3 OF THE CASEHANDLING MANUAL.

(REPRESENTATIVE INFORMATION)

NAME: Matthew DiCianni  
MAILING ADDRESS: Cozen O'Connor, 123 N. Wacker Dr., Ste. 1800, Chicago, IL 60606  
E-MAIL ADDRESS: mdicianni@cozen.com  
OFFICE TELEPHONE NUMBER: 312-382-3119  
CELL PHONE NUMBER: \_\_\_\_\_ FAX: \_\_\_\_\_  
SIGNATURE:   
(Please sign in ink.)  
DATE: 10/14/2011

<sup>1</sup> IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

**From:** [Michael T. Chin](#)  
**To:** [Cortez, Elizabeth](#)  
**Cc:** [Wermuth, Anna](#)  
**Subject:** Northwestern University/Compass Group - Case 13-CA-283985  
**Date:** Tuesday, October 12, 2021 2:48:08 PM

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Hi Liz-

Thank you for your time this afternoon and for granting Northwestern a 2-week extension of the deadline to file a responsive position statement from 10/19/21 to 11/2/21. As discussed, Anna Wermuth of Cozen O' Connor, copied here, will represent Northwestern University in this matter. In the interim, please don't hesitate to reach out to Anna and me, should you need any additional information.

Regards,  
Mike

**Michael T. Chin**

Associate General Counsel

**Northwestern University**

Office of General Counsel

633 Clark Street

Evanston, IL 60208

(o) 847.467.1591

(f) 847.467.3092

[mchin@northwestern.edu](mailto:mchin@northwestern.edu)

**CONFIDENTIALITY WARNING**

This message is being sent by an attorney. This communication and all attachments may contain privileged and confidential information for the sole use of the intended recipient(s). Any unauthorized use or disclosure of the information contained in or attached to this message is prohibited. If you believe that you have received this e-mail in error, then please notify the sender immediately and delete it and any attachments from your system.



**From:** [Cortez, Elizabeth](#)  
**To:** [Daniel Abraham](#)  
**Cc:** [Robert Bloch](#)  
**Subject:** RE: 13-CA-283985 Northwestern University/Compass Group  
**Date:** Monday, October 18, 2021 3:22:00 PM

---

Hi Dan,  
Per our discussion late on Friday, this email confirms the Union's withdrawal request.  
Thank you,

**Liz Cortez**

*Attorney*

National Labor Relations Board, Region 13  
219 S. Dearborn St., Suite 808  
Chicago, IL 60604  
Direct: 312-353-4174  
Cell: 202-251-4594  
Fax: 312-886-1341

-

**Please note the NLRB now requires electronic filing of documents. See [GC Memo 20-01](#) on the Agency's website.**

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**From:** Cortez, Elizabeth  
**Sent:** Thursday, October 14, 2021 9:56 AM  
**To:** 'Daniel Abraham' <dabraham@unitehere.org>  
**Cc:** 'Robert Bloch' <rbloch@laboradvocates.com>  
**Subject:** 13-CA-283985 Northwestern University/Compass Group

Good Morning Dan,

Per your voicemail this morning, you will be confirming with your Attorney but are probably going to withdraw this charge as the parties have reached a tentative agreement for a new collective bargaining agreement. I'm glad to hear the parties have reached an agreement. Therefore, once you've spoken with your Attorney, please respond to this email by confirming your withdrawal. Otherwise, please call me *immediately* so we can reschedule our appointment that we had for this morning at 9:30 am.

Thank you,

**Liz Cortez**

*Attorney*

National Labor Relations Board, Region 13  
219 S. Dearborn St., Suite 808

Chicago, IL 60604

Direct: 312-353-4174

Cell: 202-251-4594

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219 South Dearborn Street, Suite 808  
Chicago, IL 60604-2027

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October 19, 2021

**Via Electronic Service Unless Otherwise Indicated**

Anna Wermuth, ESQ., Vice Chair, Labor & Employment  
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123 N Wacker Dr Ste 1800  
Chicago, IL 60606-1770  
[awermuth@cozen.com](mailto:awermuth@cozen.com)

Re: Northwestern University/Compass Group  
Case 13-CA-283985

Dear Ms. Wermuth:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

***/s/Paul Hitterman***

Paul Hitterman  
Acting Regional Director

cc: Morton Schapiro, President  
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Evanston, IL 60208-0001  
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